



PATENT

Attorney Docket No.: NUASI-00106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Kevin McPartlan et al.

Serial No.: 10/633,018

Filed: July 31, 2003

For: **ESCALATED HANDLING OF  
NON-REALTIME  
COMMUNICATIONS**

Group Art Unit: 2153

Examiner:

**TRANSMITTAL LETTER**

162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Customer No. 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1-27-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

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Attorney Docket No.: PATENT  
NUASI-00106

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Application of:   | ) | Group Art Unit: 2153                                       |
| Kevin McPartlan et al.  | ) | Examiner:  |
| Serial No.: 10/633,018  | ) | <b><u>SUPPLEMENTAL INFORMATION</u></b>                     |
| Filed: July 31, 2003  | ) | <b><u>DISCLOSURE STATEMENT</u></b>                         |
| For: <b>ESCALATED HANDLING OF<br/>NON-REALTIME<br/>COMMUNICATIONS</b> | ) | 162 N. Wolfe Road<br>Sunnyvale, CA 94086<br>(408) 530-9700 |
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Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,530,744;
- U.S. Patent No. 6,298,383 B1;
- PCT WO 01/35601 A1;
- PCT WO 01/61529 A2;
- "Signaling Gateway CX6100-SG", Haraski et al., NEC Res & Develop., Vol. 42, No. 2, April 2001; and
- "Media Gateway CX3200", Ichikawa et al., NEC Res & Develop., Vol. 42, No. 2, April 2001.



Attorney Docket No.: PATENT  
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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 1-27-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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FORM PTO-449  
(Modified)

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U.S. Department of Commerce  
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Attorney Docket No.: NUASI-00106

Serial No.: 10/633,018

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicants: Kevin McPartlan et al.

Filing Date: July 31, 2003

Group Art Unit: 2153

**U.S. PATENT DOCUMENTS**

| Examiner Initials |    | Serial / Patent Number | Issue Date | Applicant / Patentee | Class | Subclass | Filing Date |
|-------------------|----|------------------------|------------|----------------------|-------|----------|-------------|
|                   | AA | 5,530,744              | 06/25/96   | Charalambous et al.  | 379   | 265      | 09/20/94    |
|                   | AB | 6,298,383 B1           | 10/02/01   | Gutman et al.        | 709   | 229      | 01/04/99    |
|                   | AC |                        |            |                      |       |          |             |
|                   | AD |                        |            |                      |       |          |             |
|                   | AE |                        |            |                      |       |          |             |
|                   | AF |                        |            |                      |       |          |             |
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|                   | AH |                        |            |                      |       |          |             |
|                   | AI |                        |            |                      |       |          |             |
|                   | AJ |                        |            |                      |       |          |             |

**FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS**

|  |    | Document Number | Publication Date | Country / Patent Office | Class | Subclass | Translation |    |
|--|----|-----------------|------------------|-------------------------|-------|----------|-------------|----|
|  |    |                 |                  |                         |       |          | Yes         | No |
|  | AK | WO 01/35601 A1  | 05/17/01         | PCT                     | H04L  | 29/06    |             | X  |
|  | AL | WO 01/35601 A2  | 08/23/01         | PCT                     | G06F  | 17/00    |             | X  |
|  | AM |                 |                  |                         |       |          |             |    |
|  | AN |                 |                  |                         |       |          |             |    |
|  | AO |                 |                  |                         |       |          |             |    |

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

|  |    |   |
|--|----|---|
|  | AP | "Signaling Gateway CX6100-SG," Harasaki et al., NEC Res & Develop., Vol. 42, No. 2, April 2001, pages 138-142 |
|  | AQ | "Media Gateway CX3200", Ichikawa et al., NEC Res & Develop, Vol 42, No. 2, April 2001, pages 133-137          |
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Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.